

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/712,634  
Applicant: Kazuhisa Yamamoto et al.  
Filed: November 13, 2003  
Title: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND  
METHOD OF PRODUCING OPTICAL DEVICE  
TC/A.U.: 2828  
Examiner: Dung T. Nguyen  
Confirmation No.: 2125  
Docket No.: YAO-3750US6

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of each of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

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The following references are not in the English language:

- 1) JP 06-011750
- 2) JP 06-194708
- 3) JP 05-249521
- 4) JP 05-034611
- 5) JP 58-044785
- 6) JP 07-099360
- 7) JP 06-045669
- 8) JP 05-232605
- 9) JP 06-258597
- 10) JP 06-308408

References 1) to 10) include English language abstracts.

References JP 06-273814 and JP 05-232536 were previously cited in a Supplemental Information Disclosure Statement dated November 13, 2003.

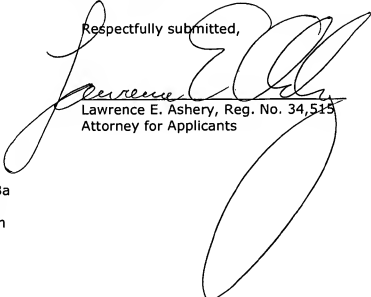
More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,513  
Attorney for Applicants

LEA/ds

Enclosures: PTO Form SB/08a  
(10) References  
Transmittal Form

Dated: August 7, 2006

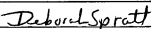
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 +10 References

Application Number	10/712,634
Filing Date	November 13, 2003
First Named Inventor	Kazuhsa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US6

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		PTO Form SB/08 10 References Return Postcard

Remarks:

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	PalmerPrestia
Signature	<i>Lawrence E. Ashery</i>
Printed Name	Lawrence E. Ashery
Date	August 7, 2006
Registration No.	34,515

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Deborah Spratt</i>
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Date	August 7, 2006

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete If Known

Application Number	10/712,634
Filing Date	November 13, 2003
First Named Inventor	Kazuhisa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US6

SHEET 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 06-011750 (w/English Abstract)	01/21/1994	PHILIPS ELECTRON NV		<input type="checkbox"/>
		JP 06-194708 (w/English Abstract)	07/15/1994	OKI ELECTRIC IND CO LTD		<input type="checkbox"/>
		JP 05-249521 (w/English Abstract)	09/28/1993	HITACHI LTD		<input type="checkbox"/>
		JP 05-034611 (w/English Abstract)	02/12/1993	FUJI PHOTO FILM CO LTD		<input type="checkbox"/>
		JP 58-044785 (w/English Abstract)	03/15/1983	KOKUSAI DENSHIN DENWA CO LTD		<input type="checkbox"/>
		JP 07-099360 (w/English Abstract)	04/11/1995	MATSUSHITA ELECTRIC IND CO LTD		<input type="checkbox"/>
		JP 06-045669 (w/English Abstract)	02/18/1994	NEC CORP		<input type="checkbox"/>
		JP 05-232605 (w/English Abstract)	09/10/1993	FUJI PHOTO FILM CO LTD		<input type="checkbox"/>
		JP 06-258597 (w/English Abstract)	09/16/1994	SHARP CORP		<input type="checkbox"/>
		JP 06-308408 (w/English Abstract)	11/04/1994	OLYMPUS OPTICAL CO LTD		<input type="checkbox"/>
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant to place a check mark here if English language translation is attached.

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